**OBJECTIVE:**

Business Analyst with over 7 years of experience in finance domain. Dynamic and Assertive team player with strong commitment. Possess excellent verbal and documentation skills complimenting a strong Business analysis background with excellent interpersonal and problem-solving skills.

**PROFESSIONAL SUMMARY**

* Extensive experience in Requirement analysis, Business Modeling, and Use Case development using UML methodology
* Extensive experience in gathering system requirements, defining business processes, UML modeling, sequence diagram and activity diagram.
* Highly experienced in the Financial Services Domain (specifically Wealth Management, Investment Management and Asset Management to name a few). Excellent know how of Capital Market instruments such as fixed income securities, credit derivatives, Mortgage Backed Securities (MBS), Equity Markets, Trade life-cycle and Front-office trading systems (Streetscape Trading Portal). Experienced working with Product data, Price Reference Data, Market Data from Bloomberg and Thomson Reuters.
* Strong understanding of Software Development Life Cycle (SDLC), including good knowledge of RUP methodology.
* Experience in developing project plans, identifying documents, and validating requirements and reengineering process.
* Experienced in complete AGILE, RUP, SDLC, Client /server architecture providing a well balanced understanding of business relationships, business requirements, worked for financial and technical solutions and help the team at all levels until final product release.
* Handled data integration projects creating Intuitive Data Mart and it's components data warehousing, data migration, master data management (MDM), data quality, application information lifecycle management, cloud data integration, complex event processing and B2B data exchange.
* Worked on data analysis of Mantas - Anti-Money Laundering (AML) Compliance data and supported user requirements.
* Proficient in Scripting languages in UNIX scripting, Perl.
* Ability to organize, document and track changes and defects using the Rational Clear Quest and archive change request in Clear Case.
* Extensive knowledge of financial services including Capital Markets, Trading, Securities, Loans and risk management.
* Experience in applying Rational Unified Process (RUP) methodology using Modeling and requirement documentation tools such as MS Office, MS VISIO, and MS Project.
* Conduction JAD Session and communicating with Stakeholders, Development team, SMEs, System Analyst, Business Analyst and Project Manager.
* Extensive experience in using Clear Quest for issue and defect tracking, deployment request tracking and change request tracking.
* Additional expertise in interpretation of requirement models to identify test effort needs, data driven testing and performance testing on various platforms.
* Experience in backend testing using SQL statements and SQL Database queries.
* Hands on experience in Oracle RDBMS/ SQL Server / MS Access and Backend Testing using SQL Scripts.
* Excellent skills in Business Analysis, Object Oriented Analysis, requirement analysis, Business modeling and Use Case development using UML Methodology.
* Experienced in manual testing, recreating errors and provide support to programmers to correct defects in the system.
* Facilitated UAT with the stakeholders and the business users and Delivered UAT
* Versatile team player with excellent communication and interpersonal skills. Exceptional ability to quickly master new concepts and technologies
* Experienced on dealing Off – Shore projects and delivers Testing Deliverables on time for the end user.

**TECHNICAL SKILLS**

**Requirement Tracking:** Rational Requisite-Pro, Test Director, MQC and DOORS.

**Operating Systems:** MS Windows NT/98/2000/XP, UNIX

**Databases:** MS Access, SQL

**Office Tools:** MS Word, Excel, MS Project, MS Outlook

**Methodologies:** SDLC, RUP, UML, RAD, JAD and AGILE.

**UML Diagram Tools:** Rational Rose / Microsoft Visio.

**Professional Experience:**

**Client: TD Bank, Trenton, NJ**

**Duration: Jan 2013 – Apr 2015**

**Position: Sr. Business Analyst**

The Toronto-Dominion Bank is the second largest Canadian bank, operating under the TD Bank Financial Group (TDBFG) with an exponential growth in the US retail banking and wealth management services. The project at TD was to lead Financial Advisory Integration projects for the TD Wealth Management Services Inc. (TDWMSI), following consolidation of Bank north Investment Group (BIG) and Commerce Capital Markets Inc. (CCMI). Projects included implementing Trade Supervision models for detailed view of all trades being conducted, Data Aggregation for holistic view of client holdings (On/Off Book), managing and tracking of Securities Registrations and Insurance Licenses by Compliance, as well as financial advisory digital archiving and journaling for email surveillance per FINRA guidelines.

**Responsibilities:**

* Identified high-level requirements for documenting detailed business requirements
* Ensured compliance to the Sarbanes Oxley Act by ensuring that appropriate Test Cases and Test Plans were created
* Facilitated UAT with the stakeholders and the business users and conducted UAT
* Assisted in the Audit Process for the Student Loan System
* Worked closely with end-users and developer to develop a detailed understanding of the opportunities for improvement, then gathered requirements and prepared a comprehensive set of documents to define the specifications and business value of the system
* Used Rational Clear Case version control system to keep track of different versions of artifacts generated within the life cycle.
* Interacted with Cash Management Division and Compliance Office to review the AML (Anti Money Laundering) requirements and provide Positive Pay service to reduce check fraud
* Worked with Data Warehouse in the development and execution of data conversion, data cleaning and standardization strategies and plans as several small tables are combined into one single data repository system MDM (Master Data Management) Was involved in the Test Plan document preparation.
* Participated in Meetings with the management team and the Fixed Income and Derivatives team.
* Involved in Business Process Management (BPM) activities like Process Design, Process Monitoring and Process Execution
* Wrote scripts to generate test data for load and stress testing using Perl.
* Responsible for developing and supporting requirements for service flow of Corporate Actions, Corporate actions response, Currency, Free movement, Mutual Fund Order and execution, Non financial transactions and trading operational processing in Comerica’s global wealth management platform.
* Used MDM tool to support Master Data Management by removing duplicates, standardizing data (Mass Maintaining), and incorporating rules to eliminate incorrect data.
* Used Rational Unified Process (RUP)/UML to make an Object Oriented Design model for the application and used UML for creating the Sequence, Use case, class, collaboration, state chart and Activity using Rational Rose Data Modeler.
* Forecasting of sales in Siebel CRM and determining performance metrics.
* Responsible for GAP Analysis to help identify current and proposed structure of MDM system.
* Interacted with Fixed Income business heads to finalize the Business Requirements for the application.
* Facilitated and managed meeting sessions with committee **of SMEs** from various business areas including **Credit Profiling, Asset /Cash Management**, and Marketing Analysis. Identified/documented data sources and transformation rules required populating and maintaining data warehouse content.
* Implemented the Rational Unified Process (RUP) in terms of disciplines and phases, each consisting of one or more iterations. With the iterative approach, the emphasis of each workflow varies throughout the lifecycle. The iterative approach helps address risk early and continuously, through demonstrable progress and frequent executable releases. Researched flow diagram libraries to understand the application.
* Updating the internal anti-money laundering software by monitoring anti-money laundering (AML) activity
* Facilitated customer meetings and Business design walkthroughs data definition and GUI design.
* Documented approved change controls to add them into business design.
* Led team to identify areas of opportunity using Six Sigma tools (defines, measure, analyze, improve and control).
* Created a testing naming convention for the team to use for creating new test scripts and entering test defects through Clear Quest.
* Analyzed SQL queries that were used by the testing team to validate the data in the back-end Oracle Database.
* Analyzed Graphs in Load runner to customize the application according to User Acceptance loads.
* Worked with Business Area Experts and Subject matter experts to understand the business requirements.
* Tested the validity of the data cleaning done by the ETL by writing SQL and by using Informatica.
* Created the Dimension Modeling using Star Schema and Snowflake Schema

**Client: UBS Investment Bank, Stamford, CT**

**Duration: Jan 2011 – Dec 2012**

**Position: Business Analyst**

Fixed income Performance Attribution System (FIPA) was developed specifically to support Wealth Management Groups to provide most valuable advisory services to clients on Capital Markets, Asset Projections, Equity, Portfolio Rebalancing, Global Investment Holdings, Derivative securities, Liquidity needs and future goals. The systems solutions advise the clients on wealth management strategies, Collateral management and Securities Lending Provide clear and complete picture of the clients assets in position and those that are held by other providers, full brokerage capabilities, access to IPOs and other 3rd party products, research data and tax and insurance information.

**Responsibilities:**

* Gathered requirements through interviews, meetings, and existing documentation and conducted UAT.
* Define specifications for automation of Property and Casualty insurance functions.
* Set up meetings, conducted Walkthroughs, JAD sessions with Management, SMEs (Subject Matter Experts), vendors, users and other stakeholders to flesh-out issues and to resolve open and pending issues and to develop specifications.
* Identified and refined scope -- developed scope documents.
* Analyzed and documented current state workflow and developed future state using MS Visio, Rational Rose
* Created Use Case Diagrams, Activity Diagrams, Sequence Diagrams, flow diagrams in Rational Rose and Visio.
* Analyzed and developed system requirements for Use Cases, Supplementary Specifications, UI specifications and other supporting documentation.
* Implemented Actimize Anti-Money Laundering (AML) system to monitor suspicious transactions and enhance regulatory compliance.
* Responsible for successful delivery of Business Intelligence Customer Data Integration and/or Master Data Management (MDM) technology initiatives.
* Wrote detailed specifications for a wealth management application, including general ledger entries.
* Conducted gap analysis between existing and future state systems
* Created and managed project templates, use case project templates, requirement types and traceability relationships in Requisite Pro.
* Bugs that would enhance the usability of the application were logged using Rational ClearQuest.
* Used Rational Clear case for version control.
* Working with MDM (Master Data Management) team to standardize the data.
* Worked on PERL scripts to automate and extract specific web elements of the page and to provide customized output.
* Developed Requirements Traceability Matrix (RTM) using Rational Rose to trace each software requirement to test case.
* Strong experience with Business Process/Workflow automation, Business Process/workflow modeling methods, Business Process Redesign & Process Improvements like UML, Riva, BPMN, Lean Six Sigma, SOA, Enterprise Architecture Design, and CMMI.
* Worked with Data Warehouse in the development and execution of data conversion, data cleaning and standardization strategies and plans as several small tables are combined into one single data repository system MDM (Master Data Management).
* Maintained track record working within IB Financial Data related environments.
* Extensively used Visual Basic to create macros in order to make data migration testing more useful and compared results from the legacy databases to the new CRM database.
* Analyzed rate-books using proprietary rate-book compare software to identify changes, gaps in vendor s rate-book
* Translated business requirements into Design Document for functional coding.
* Tested the validity of the data cleaning done by the ETL by writing SQL.
* Identified/documented data sources and transformation rules required populating and maintaining data warehouse content.
* Facilitated UAT with the stakeholders and the business users and Delivered UAT.

**Client: JPMORGAN CHASE, NY**

**Duration: Jul 2009 – Dec 2010**

**Position: Business Analyst**

JPMorgan Chase & Co. is one of the nation’s largest bank. Worked on multiple Business projects in Investment Bank (IB), Global Credit Risk Management Operations (GCRMO), and Asset and Wealth Management, such as Client reporting and Market Data analysis for client portfolios, fixed income holdings, cash equities, Options, and brokerage trading workflows. Worked extensively on Data Sharing between applications, following mergers with Bank One and of the retail

banking operations with Bank of New York (BONY)

**Responsibilities:**

* Worked closely with cross-functional teams and with distributed system groups, including PMO, to capture financial advisory business requirements for FX and Trade analysis (SDLC)
* Facilitated UAT with the stakeholders and the business users and Delivered UAT
* Completed risk assessments, data flow mapping, flow charts, and defined SOX controls
* Risk Management - Initiated and maintained risk register, contributed to mitigation strategies for SEC/FINRA regulatory risk assessment; worked with Compliance team leads to identify risk mitigation, etc.
* Status Reporting - Facilitated for status meetings, including creation of agendas and recording of action items from meetings, received, consolidated, and produced status reports for business constituents and steering committee, etc. using MS Word & PowerPoint.
* Served cross functionally in various units in Wealth Management Application processing and Authorizations.
* Designed new AP Business Process Models to complete the Oracle Essbase BPM flow.
* Collaborated with Oracle Essbase programmers and Hyperion financial systems process owners to re-engineer and design new financial BPM processes.
* Created and executed test cases and scripts using Clear Case
* Extensive experience in Sales Forecast viewing in Siebel CRM for sales promotion.
* Worked extensively on Business Requirements, Functional Specification, Data-Integration, Data Mapping, and Data Warehouse access using SQL and Crystal Reports, ETL process, use cases modeling (UML) using MS Office (Word, Excel, Access, Visio) and dashboards
* Designed ETL Process Models, Systems Diagram, Workflow, Activity Diagrams, Use Cases (UML) and Business Rules using MS Visio.
* Worked on Master Data Management (MDM) for maintaining the customer information.
* Maintained track record working within IB Financial Data related environments.
* Upgraded the existing Test Scripts and created new scripts for client application to be able to work for new versions and patches, which improved product quality.
* Create and maintain data model/architecture standards, including master data management (MDM)
* Worked closely with QA’s and Assisted Quality Management team to ensure that requirements documentation accurately translated into test plans and test cases, including Quality Assurance Verification and Validations
* Implemented Novell's Sentinel Security Information & Event Management system to provide "Dashboard" view of security event activity within for conducting its information security surveillance and correlating security related events
* Successfully coordinated System Integration Testing (SIT) and User Acceptance Testing (UAT)

**Client: BANK OF AMERICA, Washington D.C**

**Duration: Jan 2008– June 2009**

**Position: Business Analyst**

Bank of America is one of the leading financial management and advisory companies. I worked as a Business Analyst on the Benefits Online Project. Benefits Online is a web-based application, which helps users manage their assets/funds. After a successful logon, user’s home page would list all the account types they had their funds allocated to. They could transfer or withdraw funds using various different combinations made available to help them manage their funds. Users could also calculate the maximum loan amount he or she is eligible for and apply online. All the Customer Information was saved on Oracle databases

**Responsibilities:**

* Gathered the requirements and detailed business policies and modified the business requirement document
* Analyzed systems specifications, requirements and developed use cases accordingly
* Prepared a detailed business requirement document
* Facilitated UAT with the stakeholders and the business users and Delivered UAT
* Assisted the customer in defining the high-level functional requirements and needs
* Involved in the data movement between systems, validated the business requirements
* Implemented numerous use cases based on test strategies
* Utilized RUP to create activity diagram, class diagrams and workflow process diagrams
* Prepared the business workflow using MS-Visio with input, output, pre and post conditions
* Analyzed team performance with the QA manager and implemented the changes if any with respect to raising the efficiency of the entire team.
* Carried out Defect Tracking in Rational clear Quest and interacted with Business with respect to enhancements/changes made.
* Participated in weekly meetings and walkthroughs.
* Worked with key members from various cross-functional teams to analyze various third-party CRM solutions to make a ‘buy vs build’ decision. Conducted cost/benefit and impact analysis.
* Conducted detailed study of existing database and worked out a detailed migration plan for transforming disintegrated database from multiple platforms in to single integrated data source.
* Extracted data from different sources like flat file excel data sheet, access database and SQL & Oracle relational databases and processed data to upload in to the target database.
* Created data model that visually represents the nature of data, business rules governing the data, and how it will be organized in the database.
* Involved in validation of created data model to make sure that all data objects provided by the functional team are completely and accurately represented.
* Responsible for data analysis, project plan, gap analysis, data mapping, all business analysis functions/artifacts, documentation and implementation.

**Client: SUNTRUST BANK, NC**

**Duration: Aug 2007 –Dec 2007**

**Position: Business Analyst**

The project at Suntrust Bank was client server based RISK software protocol in lieu to their financial services to the clients which will help Suntrust Bank and its customer to compare current prices and research for stock and Securities Landing. An automating process meant for decision-making and review of their financial services. The system allowed them to keep track of historical data, Statistics/ Market Data/ Equities Market Evaluation on Investments/Fixed Income and Derivates/ FX / Structure of financial portfolio etc.

**Responsibilities:**

* Responsible for Gathering Requirements from the Quantitative Risk Analysts (QRM Team) and Portfolio Managers to produce Business and Functional Requirements for a Risk Management Application.
* Conducted Various Research work on Financial Risk Assessments and Various Risks Associated in calculating the worth of Investments in it.
* Conducted Requirement analysis and Design Walkthroughs with the designers, developers and management staff.
* Communicate Project Requirements, Business Issues, Solution and Made Recommendation.
* Participated in Primary and Secondary Research in the Identification of User’s Specifications needs.
* Produced System Diagrams, Business Requirements, Report Specifications, Workflows and other documentation as required for Project Analysis, Planning and Design
* Prepared and Reviewed Business Requirement Document and the Technical Specifications.
* Ensured that relevant UML diagrams and Tools (Requisite Pro) were used in all requirement documents e.g. User Requirements, Functional Specifications.
* Developed Process Model in MS Visio and detailed Business Policies.
* Prepare Use Case Models based on Business Requirement documentation gathered through interaction with users.
* Prepared Flow Diagrams, Sequential Diagrams in MS Visio.
* Created Prototypes using Axure tool
* Conducted JAD/RAD Sessions for understanding the workflow.
* Involved in writing Test Plan in accordance with the business requirements.
* Facilitated UAT with the stakeholders and the business users and Delivered UAT
* Created Technology Evaluation Matrices to provide best-fit solutions to customers.
* Assisted the development team during the second and third iteration using the RUP model.
* Increased process efficiencies and reduced development cycle time across department by spearheading the implementation RUP methodology.
* Worked with subject matter experts’ financial analysts and investment analysts to design Financial Products such Fixed Income Securities (CDs, Treasury Bills, and Corporate Bonds.etc), Equity Securities, Derivatives and Alternative investments.
* Dealt with all kinds of financial instruments such as Equities, Fixed Income, Derivatives, etc.
* Worked closely with the project Manager in planning, coordination and implementing methodology.
* Responsible for Documenting in each phase of RUP Methodology, in Risk Assessment, and in validation & verification of the Testing process.
* Made Presentations for Senior Managements and Business End Users regarding eRISK applications and risk assessment pertaining to Investments.